

Let us help you grow your important assets!
大切な資産を育てるお手伝い

CORPORATE PROFILE
会社案内



「貯蓄から資産形成へ」— 証券の時代に旬の商品をいち早く。

“A shift from saving to asset-building”
Now that we have entered an era of investment,
we are striving to be the quickest to offer
financial products “in season.”



当社グループは、創立以来、「信は萬事の基と為す」を経営の基本理念として、わが国資本市場の発展とともに着実に成長を遂げてまいりました。これも、ひとえに、これまで当社を支えてくださり、当社とともに歩んでくださいましたお客さまや株主の皆さまをはじめ、多くの関係者の皆さまの温かいご支援の賜物と、深く感謝申し上げます。

当社は、今後とも、経営の基本理念に立脚しつつ、信頼を原点とした「Face to Faceのビジネスモデル」と「健全経営」を経営の基本方針として、安定的成長の確保を図ってまいります。

In accordance with its guiding principle of “credibility forms the basis of all businesses”, the Kyokuto Securities Group (“Kyokuto Group”) has steadily grown since its foundation along with the growth of Japan’s capital market.

This is due in no small part to the warm support of our stakeholders, including our customers and shareholders who have been in step with us, for which we are truly grateful.

We will continue to stand on our guiding principle and ensure sustainable growth, while upholding the “Face to Face business model” based on trust and “sound financial base” as our basic corporate philosophy.

わが国では、国民の安定的な資産形成を実現する資金の流れへの転換を図る（貯蓄から資産形成へ）方針が打ち出され、証券市場の果たす役割は一層重要になると考えております。当社グループといたしましても、証券市場の担い手としての役割を強く意識しつつ、顧客本位の業務運営を行うとともに、より広い視野に立って市場環境の把握と情報提供に努めてまいりたいと考えております。

当社は、従来より「大切な資産を育てるお手伝い」をブランドスローガンとして、お客さまに対し、内外の環境変化やマーケットの流れをいち早く捉えた「特色ある旬の商品」を提供すべく努めております。今後とも、お客さまとの信頼関係を強化しつつ、コンプライアンスを重視した投資勧誘を通じて、皆さまの資産運用のお役にたてるよう、日々研鑽してまいります。

なお一層のご支援・ご愛顧を賜りますよう、お願い申し上げます。

As Japan has presented its policy to shift the flow of funds towards stable asset-building for its households (i.e., a shift from saving to asset-building), we expect that the importance of the role of the securities market will increase accordingly. Under such circumstances, the Kyokuto Group, while recognizing its role as a securities company to shoulder the well functioning of the securities market, intends to engage in customer-oriented business operations and make efforts to grasp market trends and provide relevant information from an even wider field of view.

The Company has, under its branding slogan of “Let us help you grow your important assets”, been endeavoring to provide “unique ‘in-season’ financial products” to swiftly capture the investment environment and the market trends both domestic and abroad.

We will endeavor to discipline ourselves in order to help our customers with their asset management, while strengthening trust in relationship, through compliance-oriented investment solicitation.

We, therefore, ask that you will continue to honor us with your support and patronage.

代表取締役社長

菊池 一広

President & Chief Executive Officer Kazuhiro Kikuchi

Face to Face

私たちは常にお客さまと向き合い、一人ひとりのニーズにお応えしてまいります。

We respond to the needs of each customer through consistent Face-to-Face consultation.

—大切な資産を育てるお手伝い—

時代は「貯蓄から資産形成」へ。

どんなに時代が変わっても、お取引の原点は「お客さまの信頼」。

「人生は、信用、誠実が基本であり、信用の上に事業がある」

—創立者の信条であり、座右の銘であった「信は萬事の基と為す」を経営の基本理念とし、創立以来、着実な歩みを重ねております。

Face to Faceのコンサルティング

- 直接対話による分かり易く、親切・丁寧なコンサルティングで、お客さまの満足度向上を図りながら、お客さま一人ひとりに最適な、質の高いサービスを提供しております。
- お客さまの多彩なニーズに応える「特色ある旬の商品」を他社に先駆けて提供しております。

健全経営追求による安定的成長確保

- バランスのとれた安定的な収益構造で、継続した成長を実現しております。
- 新しい収益分野への取り組みを通して、収益源を多様化しております。

Let us help you grow your important assets

The era of the “shift from saving to asset-building”

No matter how times may change, “fostering the trust of our customers” will always be the basis of all our business relationship. “Credibility and sincerity are the cornerstones on which we live. Our business is built upon this credibility.”

This was our founder’s credo and the Company has steadily grown in his footsteps guided by his motto, “credibility forms the basis of all businesses.”

Face-to-Face consulting

- We provide high-quality services that fit the needs of each customer through easy-to-understand, thoughtful and considerate consulting based on direct dialogues with the customer, while pursuing higher customer satisfaction.
- We lead the industry in offering “unique ‘in season’ financial products” that cater to the various needs of customers

Ensuring solid growth through the pursuit of a sound financial base

- Our solid, well-balanced revenue structure has enabled us to achieve continued growth.
- We have diversified our revenue sources through efforts toward new revenue areas.

Face to Face のコンサルティング

Face-to-Face consulting

お客さまとの信頼関係を築くため、
私たちは、Face to Faceのコンサルティングに
こだわっております。

お客さま一人ひとりの多様化するニーズに対応した質の高い
サービスを提供するため、「マーケット変化を捉えた商品
ラインナップ」と「幅広いコンサルティング機能」が重要である
と考えております。そのため、全営業担当社員のファイナン
シャル・プランナー（FP）資格取得を目指すとともに、税理士や
弁護士等の専門家と顧問契約を締結。資産活用や資産継承
ニーズにお応えするコンサルティング体制を構築しております。

多彩な商品提供力

Face to Faceのビジネスモデルを追求するための重要な
要素。それは「多様な種類・通貨の債券や投資信託などの
多彩な商品ラインナップ」の提供です。当社は、お客さまの
投資パフォーマンス向上を目指し、マーケット動向やニーズ
を踏まえ、「特色ある旬の商品」を他社に先駆けて提供して
おります。

多彩な商品ラインナップを可能にする当社の強み

- ① 適正なリスクテイクを可能にする充実した自己資本
- ② 過去の実績に対する発行体や商品組成パートナーの高い評価
- ③ 商品本部が持つ充実した外資系証券会社とのネットワーク

特色ある債券ラインナップ

当社の債券ラインナップの特色は、「形態・発行体の多様性」と
「通貨の多様性」です。金利状況や市場環境の変化をタイムリーに
捉え、さまざまなタイプの債券をお客さまの利回りニーズやリスク
許容度・資産状況に応じて提案しております。



We have always insisted on Face-to-Face consulting,
as means to develop a relationship of trust
with our customers.

We believe that “a diversified product lineup that is abreast of changing markets” and “a broad range of consulting functions” are essential in offering high-quality services that meet the varied needs of customers. To this end, we are aiming to have all of our salespeople acquire Financial Planner (FP) certification. We have also entered into advisory agreements with a number of experts, including certified tax accountants and legal advisors, and have thus built up a consulting framework capable of responding to the asset utilization and inheritance needs of our customers.

Ability to propose diverse financial products

An essential element in our business model of Face-to-Face consultation is an ability to offer “a diverse product lineup, including various types of bonds and investment trusts in various currencies.” In response to changing markets and the evolving needs of the customers, we are leading the industry in providing “unique ‘in season’ financial products” to assist customers in improving their returns on investments.

Strengths that enable us to offer diverse product lineup

- ① Sufficient net capital needed for appropriate risk-taking
- ② High appraisal of our past performance by issuers and product development partners
- ③ Effective liaison with overseas securities firms through our Global Markets Department

Fixed-income securities with unique advantages

The “diversity in the types of issues and issuers,” as well as the “diversity of currencies” comprise the unique features of Kyokuto’s lineup of fixed-income securities. Based on our timely assessments of changes in interest rates and market conditions, we offer various types of fixed-income securities to our customers that are tailored to their specific needs, such as the customers’ expected yield, risk tolerance and asset condition.

健全経営追求による安定的成長確保

時代を把握し、常に先を見通すことで、健全な経営基盤を実現。
お客さまへのより充実したサービスにつなげております。

Ensuring solid growth through the pursuit of a sound financial base

We have achieved a sound business foundation by grasping the era while always looking ahead to the future.

We have utilized this perspective to enhance our services to our customers.



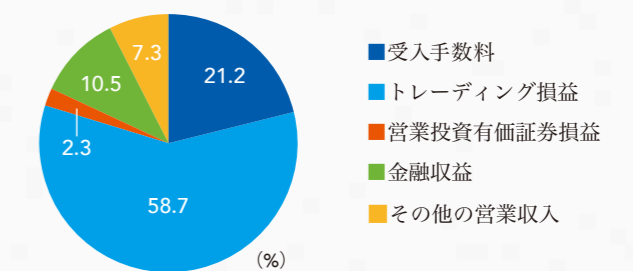
バランスのとれた安定的な収益構造と新しい収益分野への取り組み

当社の収益は、株式や投資信託等の取り扱いから生じる受入手数料、債券等を中心としたトレーディング収益、金融収益等、バランスのとれた収益構造で形成されています。

このバランスのとれた収益構造は、株式市場の長期低迷と手数料自由化進展の中、当社が経営環境の変化を適切に捉え、機動的な組織運営の見直しや新しい収益分野への取り組みによって、株式市場の変動に対応すべく収益構造の多様化を図ってきた結果といえます。大きく変動する環境下においても株主の皆さまに安定的に配当を継続できた

のは、この健全な財務基盤の賜物といえるでしょう。今後とも、財務の健全性を堅持しつつも、新しい収益分野への取り組みを通して、お客さま・株主の皆さまに安心してお付き合いいただける証券会社であり続けたいと考えております。

2017年3月期の純営業収益(連結)の構成比



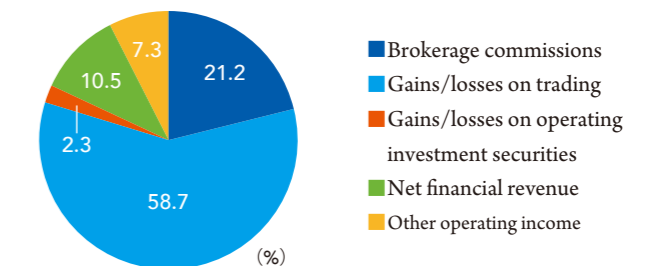
A well-balanced, solid revenue structure and efforts toward new revenue areas

We have maintained an optimum revenue balance among brokerage commissions arising from trading of stocks, investment trusts, etc., gains on trading of bonds and other securities, and financial revenue. Our

well-balanced revenue structure is the fruit of our efforts to diversify revenue sources, in order to make our revenue structure resilient to market changes. This has been achieved through constant review of operational strategy and efforts toward new revenue areas in response to changing business environments, including the prolonged stagnant stock market and deregulation of fixed brokerage commission rates. This sound financial condition has allowed the Company to maintain stable

dividends payment to shareholders even during the changing business environments. We are committed to keeping our sound financial base and making efforts toward new revenue areas, so that our customers and shareholders can enjoy our relationship at ease.

Composition of Net Operating Income (Consolidated) for FY2016





Retail Business

リテール部門

お客さまとの直接対話を通して、個人のお客さまを中心とする資産運用ニーズに対し、的確な資産運用のコンサルティング提案を行っております。

Through direct dialogues with our customers, we offer pertinent consulting proposals in response to the investment needs of our retail customers, in particular.

直接対話を基本としたコンサルティング

リテール部門では、お客さまとの直接対話を基本とし、証券投資初心者の方から中長期投資を志向されるお客さままで、お客さま一人ひとりに適したコンサルティングを行います。当社の特色でもある多彩な商品(株・債券・投資信託等)を組み合わせた質の高いポートフォリオ提案を推進し、お客さまの大切な資産を育てるお手伝いをしております。

お客さま基盤の拡大に向けて

安定的な収益基盤を長期的に確保していくために、新規のお客さま開拓と預かり資産の増強の2点を重点課題として取り組んでまいります。新規のお客さま開拓については、既存のお客さまからご紹介いただいた方々や企業オーナーさま等を中心とする富裕層・シニア層を重点対象として、当社の強みである債券等の幅広い商品を有効に活用しつつ、拡充を図ってまいります。また、お客さまの「大切な資産を育てるお手伝い」を基本に、幅広い商品の提案や税務等のアドバイスを通じて預かり資産の増強を目指してまいります。

Consulting based on direct dialogue with our customers

Our Retail Customers Department provides customers – from first time investors to proficient investors – with consultations tailored to their specific needs based on direct dialogue. We help customer grow their important assets by offering high-quality portfolio proposals that combine products from our unique and diverse product line (equities, bonds, investment trusts, etc.).

Toward a wider customer base

To ensure a sound revenue structure over the long-term, we will engage in expanding our customer base and increasing our customers' assets as our two priority objectives. In terms of expanding our customer base, we intend to make the most of our unique product lines, including fixed-income securities, by focusing mainly on the wealthy and the affluent elderly, including entrepreneurs and those introduced by our existing customers. Additionally, we will endeavor to expand our customers' assets by offering proposals on our extensive product lineup and advice on taxation issues, based on our slogan of "Let us help you grow your important assets."



情報提供力の充実

経済、金融証券市場の調査・研究業を営む極東証券経済研究所(KRI)において、毎月2回「極東投資レポート」を定期発行するほか、個別企業や業界動向のレポートや決算情報等を随時発行し、お客さまへの情報提供に力を注いでおります。また、債券や海外マーケットの動向については、外資系証券会社との強いネットワークを活かし、世界各国のタイムリーな情報を提供しております。

株式

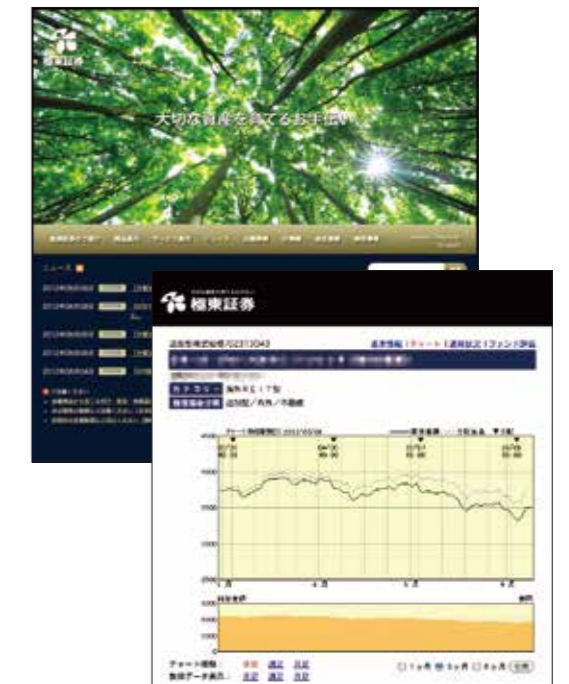
日本国内の株式だけではなく、成長目覚ましいアジア各国の他、米国や欧州の株式のお取り扱いが可能です。先進国、新興国にかかわらず旬の企業情報を随時お届けしております。

債券

お客さまのポートフォリオの多様化に適した商品です。お子さまの教育資金から、ゆとりある老後資金の確保まで、お客さまの投資目的に合わせた幅広い投資機会を提供しております。

投資信託

単一の商品でも分散投資が可能な商品です。豊富なバリエーションが特徴で、お客さまの目的に合わせて、幅広い投資機会を提供しております。また、当社のウェブサイトでお取り扱い商品の情報提供もおこなっております。



Enhancement of capability to provide information

Kyokuto Securities Research Institute, Inc. (KRI), which is engaged in the business of conducting research and analysis of the economy and the financial and securities markets, is committed to providing relevant information to our customers by issuing the Kyokuto Investment Reports every two weeks, as well as reports on individual issuers and industry trends, and financial information. Furthermore, by making good use of our effective liaison with overseas securities firms, we provide customers with timely information on fixed-income securities and developments in overseas markets.

Equities

Not only do we handle Japanese stocks but also the stocks of the rapidly growing Asian nations, in addition to U.S. and European stocks. We offer our customers "in season" corporate information regarding stocks of emerging countries as well as the developed countries, in a timely manner.

Fixed-income securities

Fixed-income securities are suitable for diversifying the portfolio of customers. We offer a wide range of investment opportunities tailored to our customers' investment goals, ranging from setting aside children's education funds to securing funds for an affluent lifestyle at old age.

Investment trusts

Investment trusts allow for portfolio diversification even in the case of investment in a single issue of fund. We offer a wide range of investment opportunities tailored to our customers' investment goals. We also provide information on the products on our website.



コーポレート事業部

事業法人、金融法人、公益法人及び関係するオーナーさま等を対象に、株式、債券、投資信託等の販売に取り組んでおります。

投資銀行部

□ 引受業務

お客様各社のニーズにマッチした資金調達プランの提案、新規公開企業の上場に伴う新株式発行及び株式売り出し(IPO)に関する引受幹事証券となるための活動をしております。

□ 不動産ファンドビジネス

オフィスビル、レジデンス、商業施設等の投資案件の発掘から必要な組織の設立、ファイナンシャルアレンジメントなど包括的な業務を展開しております。

□ M&A・財務アドバイザー業務

事業の売却や拡大を検討されているオーナーさまのニーズをマッチングさせるM&A業務、事業再生や新規ビジネス進出に係る資金調達支援などを実施しております。

Wholesale Department

The Wholesale Department offers sales services in equities, bonds, and investment trusts mainly to business corporations, financial institutions, public-interest corporations and related entrepreneurs.

Investment Banking Department

Underwriting business

We offer fund raising plan proposals that match the varied needs of our corporate clients and participate in underwriting syndicates for the issuance of new stock and sale of stocks by newly listing companies (IPOs).

Real estate fund business

Our comprehensive business starts by sourcing investment opportunities for office buildings, residential properties, commercial facilities, etc., and encompasses setting up the necessary entities and undertaking financial arrangements.

M&A and financial advisory services

In our M&A service, we match up companies wishing to sell its business with the companies wanting to expand its business. We also provide advice to help companies to finance their business rehabilitation projects and new business start-ups.

債券トレーディング

お客様の「資産運用の多様化」をお手伝いさせていただくため、国際金融商品部では外資系証券会社などの充実したネットワークを活用し、多彩な金融商品を仕入れ、提供しております。また、お客様の希望利回りやリスク許容度を勘案し、ニーズにマッチしたオーダーメイドの商品の組成も行っております。「貯蓄から資産形成へ」の流れの中で、当社の得意とする好利回りの外国債券等、より高い金利商品へのお客様のニーズは益々高まっており、当社の特徴の1つである「特色ある旬の商品」の品揃えをさらに強化し、お客様のニーズにお応えしてまいります。

また、自己資金を活用し、多様な金利商品を分散保有し、マーケット環境を注視し適切にリスク管理することにより、トレーディング損益及び金融収支の安定的確保を目指しております。

株式ディーリング

流動性の供給により適正な価格形成の担い手となると共に、適切なリスク管理に基づく収益の確保及び多様化を図ることに努めております。

Fixed-income securities trading

The Global Markets Department, by utilizing the effective liaison with overseas securities companies, purchases a wide range of financial products and offers them to help our customers with "asset management diversification". The Department also arranges customized products to meet individual clients' needs in terms of expected yields and risk tolerance. Amid the "shift from saving to asset-building," our customers' preferences have increasingly shifted to high-yield financial instruments, such as high-yielding foreign bonds, which is one of our specialty fields. We will keep responding to the needs of the customers by further fortifying our unique 'in season' product lineup, which is one of our strengths.

Furthermore, by utilizing our own capital and paying due attention to changing environments and appropriate risk management, we will hold diversified portfolios of fixed-income securities to help ensure stable gains/losses on trading and sources of income.

Equity dealing

We play an important role in fair price formation by providing liquidity, while striving to secure and diversify our revenues based on proper risk management.



Corporate Governance

コーポレート・ガバナンス

全役員が社会的責任を強く意識し、公正で健全な企業風土を確立しております。

All executives and employees are keenly aware of our social responsibility and have accordingly built up a fair and sound corporate culture.

■コーポレート・ガバナンスに関する基本的な考え方

当社グループは、経営の透明性向上とコンプライアンス重視の経営に徹するため、コーポレート・ガバナンスの充実を図り、経営環境の変化に迅速に対応できる組織体制を構築することを経営の重要課題と位置づけております。

また、「信は萬事の基と為す」を経営の基本理念として、お客さま・株主の皆さま・従業員・地域社会等全てのステークホルダーを視野に入れながら、当社グループ全役員一人ひとりが常に高い倫理観を持ち、誠実・公正に業務を行うことを業務運営の基本方針としております。

■コーポレート・ガバナンス体制

当社は取締役会、監査役会を設置しております。

また、コーポレート・ガバナンスの一層の強化と経営環境の変化に迅速かつ柔軟に対応できる取締役会の効率的運営確保を図る観点から、執行役員制度を導入しており、取締役会の「経営の意思決定及び執行監督機能」と執行役員「業務執行機能」を分離し、各々の機能強化を図っております。これは、当社業務に精通した人物による会社経営及び取締役と監査役の完全な分離が、当社にとって最も適した形態であるとの考えに基づき、取締役会が経営の監督機能を、監査役会が取締役・執行役員業務執行の監査機能を担っております。さらに、経営の透明性及びアカウンタビリティの向上を図るために、社外取締役を2名・社外監査役を2名選任しております。

Basic Approach to Corporate Governance

Kyokuto Group has strengthened its corporate governance in order to devote itself to a transparent and compliance-oriented management; and we consider the establishment of an organization that is capable of promptly responding to changes in the business environment to be a critical management task at hand. Additionally, in accordance with our guiding principle of “credibility forms the basis of all businesses”, we consider it the basic policy of our business operations for each executive and employee of Kyokuto Group to keep in mind the interests of all its stakeholders—e.g., customers, shareholders, employees, local communities and so forth—to adhere to the highest ethical standards and to conduct business in a fair and faithful manner at all times.

Corporate Governance System

The Company has established the Board of Directors and the Board of Corporate Auditors.

In view of further enhancing corporate governance and ensuring the efficient operation of the Board of Directors that is capable of responding to changes in the business environment in a prompt and flexible manner, we have adopted an Executive Officer system and thereby separated the Board of Directors' management decision-making & execution oversight functions and the Executive Officers' business execution functions, both of which are being strengthened. This is based on the view that the optimal arrangement for the Company is to make persons who are knowledgeable of its operations manage the Company and completely separate the Board of Directors and Corporate Auditors from each other, and accordingly, the Board of Directors' function is to oversee management whereas the Board of Corporate Auditors' function is to audit the execution of operations by Directors and Executive Officers. Furthermore, the Company has appointed two outside Directors and two outside Corporate Auditors in order to improve management transparency and accountability.

■内部統制システムの整備

当社グループは、取締役・使用人の職務執行が法令及び定款に適合することを確保するため、統制組織及び統制手段が相互に結びつき内部統制機能が作用するシステムの構築を図っております。

○当社グループの取締役・使用人の職務の執行が法令及び定款に適合することを確保するため、「倫理コード」やコンプライアンスの基本原則を定めた「コンプライアンス・マニュアル」を制定するとともに、具体的な行動計画を「コンプライアンス・プログラム」として策定し、その徹底を図っております。

○職務執行の適正性を検証するため、内部監査部門による営業部店検査及びグループ会社を含む業務監査を定期的実施し、検査（監査）報告会等を通じて問題点の共有と改善事項の徹底を図っております。

○財務報告の信頼性を確保するため、「内部統制運用規程」を定め、財務報告に係る内部統制及び運用を行うための社内体制を整備しております。

○反社会的勢力との関係を一切遮断することを目的とし、反社会的勢力への対応を所管する部署や担当者を設置するとともに、警察等関連機関とも連携し、組織全体で毅然とした対応を行っております。

■リスク管理体制の整備

当社グループの損失の危険の管理に関しては、「リスク管理規程」を制定し、管理すべきリスクの所在と種類を明確にしたうえで、それぞれのリスクごとに管理規則を定めております。また、リスク全般の管理に関しては、「リスク管理統括責任者」及びリスク管理部を設置し、統合的にリスク管理を行っております。

事業継続計画（BCP）に関しては、「危機管理規則」及び「危機対応マニュアル」を制定し、緊急時の対応体制を整備しております。

■社会的責任

当社グループは、社員がその能力や個性を発揮して活躍できる職場を作り上げることを目的として、フォローアップ研修やフィナンシャルプランナーなどの資格取得を支援するなど、人材育成に注力しております。また、人材の多様化や女性の活躍を目指すとともに、各種ハラスメント行為の防止、メンタルヘルスケアの促進、出産・育児・介護のための制度充実を図り、働きやすい職場環境の向上に努めております。

さらに、経済・金融分野でのリテラシーの向上に資するために大学寄付講座を開設するとともに、文化・芸術活動の支援、地域活動への積極的な参加や助成を行っております。環境問題に対する活動として、全社的な節電の実施など環境負荷低減のための諸施策に取り組んでおります。

このようなさまざまな取り組みを通じまして、金融商品取引業者の本業以外の分野における社会的責任を果たすとともに、企業価値の向上に努めております。

Improving the Internal Control System

In order to ensure that the Directors and employees conduct their business activities in compliance with laws and regulations, as well as the Articles of Incorporation, Kyokuto Group has been making efforts to build a system where the internal control system and its means of controls are firmly integrated so as to ensure that the internal control functions work properly.

○ In order to ensure that the Directors and employees of Kyokuto Group conduct their business activities in compliance with laws and regulations, as well as the Articles of Incorporation, the Group has created the Compliance Manual, which sets forth the basic principles of compliance, and the Code of Ethics, in addition to formulating and thoroughly enforcing specific agendas for action under the Compliance Program.

○ To verify the appropriateness of the execution of business activities, inspections of operating departments/branches and operational audits including Group companies are periodically conducted by the internal auditing division, and efforts are made to share problems and thoroughly carry out improvements through inspection (audit) debriefing sessions, etc.

○ To ensure the reliability of financial reporting, we have established the Internal Control & Operation Rules and developed an internal system for internal control and operation relating to financial reporting.

○ For the purpose of hindering any and all relationships with antisocial forces, we have established a department and appointed staff to be in charge of dealing with antisocial forces; we also take action against antisocial forces resolutely on an organization-wide scale in collaboration with police and other relevant organizations.

Enhancing the Risk Management System

For managing the risk of loss, Kyokuto Group has established the Risk Management Regulations, and by identifying the types and locations of risks that need to be managed, we have set individual risk management rules for each risk. In regards to the management of risks in general, we have appointed the General Risk Manager and established the Risk Management Department to manage risks in an integrated manner.

For Business Continuity Plan (BCP), we have created the Crisis Management Regulations and Crisis Response Manual and developed a framework to take action in response to emergencies.

Corporate Social Responsibility (CSR)

For the purpose of creating a workplace in which employees can play an active role by fully demonstrating their abilities and individual characteristics, the Kyokuto Group is putting efforts into human resources development, such as holding follow-up training sessions and providing assistance in acquiring qualifications (e.g., financial planner qualifications). While seeking the diversification of human resources and the active participation of women, the Kyokuto Group is also endeavoring to make its workplace environment more employee-friendly by preventing various forms of harassment, promoting mental healthcare and enhancing childbirth/childcare/nursing care schemes.

In addition, the Kyokuto Group has established a sponsored university course to help improve literacy in the economic/financial fields. We also support cultural /art activities and proactively participate in and provide assistance to community activities. As activities to tackle environmental problems, we are working on various measures to reduce the environmental burden, including saving electricity on a company-wide scale.

We are striving to improve our corporate value while fulfilling our social responsibility in fields other than our core businesses as a Financial Instruments Business Operator through a wide range of initiatives as described above.

Corporate Brand

コーポレートブランド

当社グループは創立以来、「信は万事の基と為す」を経営の基本理念として、お客さまの立場に立った営業展開と健全な経営を続けてまいりました。東京証券取引所市場への上場に際し、この理念を基礎として二つの約束を掲げ、コーポレートブランドの普及に取り組んでおります。

Since the establishment, Kyokuto Group has strived to gain customer credibility by pursuing customer-friendly business policies and a sound financial base in accordance with its guiding principle – “credibility forms the basis of all businesses.” On the occasion of our listing on the Tokyo Stock Exchange, we reiterated our two commitments based on this principle and have since endeavored to spread our corporate brand.

一つ目の約束: お客さまへ



当社の特色・姿勢をアピールし、当社の付加価値をお約束するものです。信頼関係を築き、「大切な資産を育てるお手伝い」をしてまいりたいと考えております。

First commitment: To our customers

By promoting our unique products and business approach, we promise our customers the added value of our services. We will build a relationship of trust with our customers and continue to “help them grow their important assets.”

二つ目の約束: 投資家の皆さまへ



投資家の皆さまからの信頼を得るための、当社のIR活動の基本となる合言葉です。投資をお勧めしている証券会社だからこそ、先ずは自らが魅力的でなければならないと考えております。

Second commitment: To our investors

Our main concern is to gain the trust of our investors and this is the principle slogan of our IR activities. As a securities company encouraging customers to invest their assets, we believe that we ourselves have to be attractive.



ロゴマーク

メインモチーフは、人が大きく手を広げ、包み込むポーズのシルエット。当社の頭文字「K」の文字を組み入れ、「信頼性」、「安心感」、「親しみ易く、信頼できる証券会社」を表現しております。

Corporate logo: The main motif is a silhouette of a person with arms wide open in an embrace. The motif also incorporates the letter “K,” the initial letter of the Company’s name and expresses “credibility,” “security,” and most of all “a friendly and reliable securities company.”



コーポレートカラー

クリアブルーとウルトラマリンの2色がコーポレートカラー。クリアブルーは、クリーンで健全なイメージを、ウルトラマリンは、変わらぬお客さま本位の強い信念を表現しております。

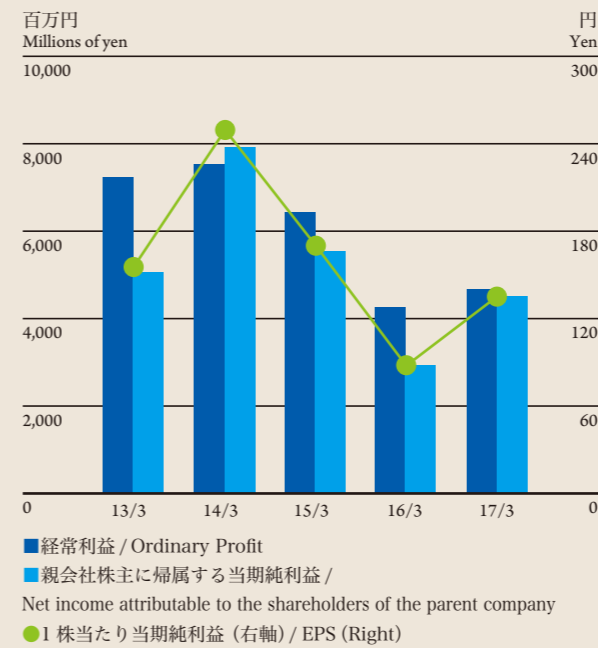
Corporate colors: Kyokuto’s corporate colors are Clear Blue and Ultramarine. Clear Blue represents a healthy and clean image, while the Ultramarine conveys our strong determination to uphold the spirit of customer-oriented principles.

Financial Highlights

主な経営指標の推移

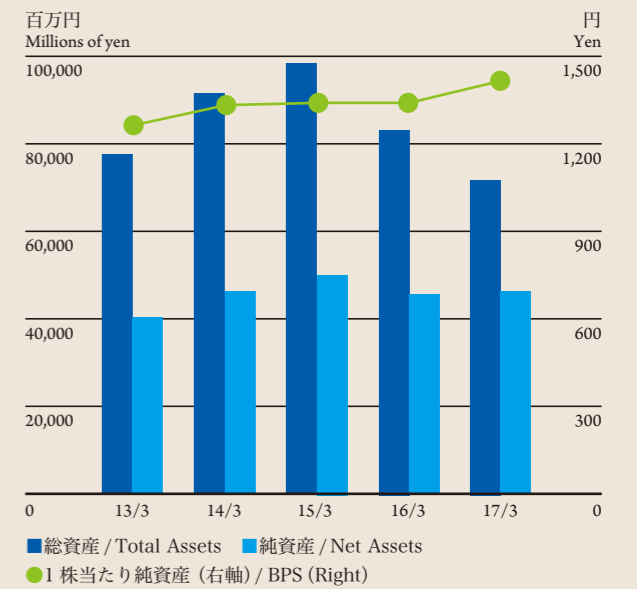
経常利益・親会社株主に帰属する当期純利益・1株当たり当期純利益の推移(連結)

Ordinary Profit, Net Income Attributable to the Shareholders of the Parent Company and Earnings per Share (EPS) (Consolidated)



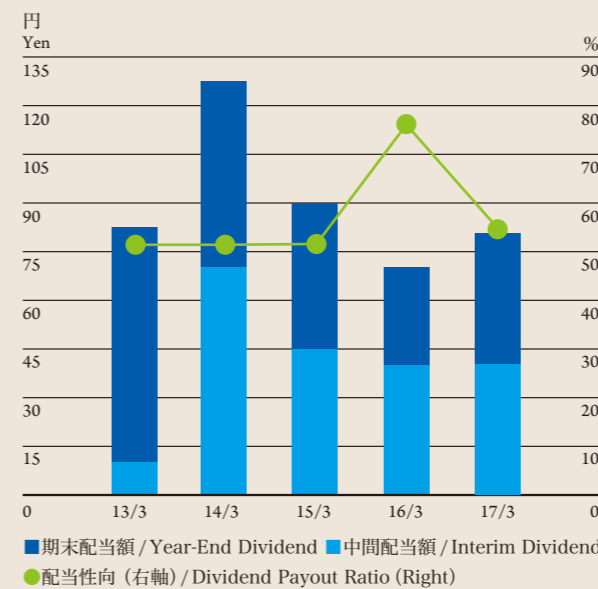
総資産・純資産・1株当たり純資産の推移(連結)

Total Assets, Net Assets and Book Value per Share (BPS) (Consolidated)



1株当たり配当額・配当性向の推移(連結)

Dividends per Share, Dividend Payout Ratio (Consolidated)



自己資本規制比率(単体)

Net Capital Regulation Ratio (Non-Consolidated)

